

September 8, 2021

S FILED
SEP 13 2021
UNITED STATES BANKRUPTCY COURT
SAN FRANCISCO, CA

Thomas A. Mullady, for
"Thomas A Mullady IRA,
Raymond James & Assoc Inc Csdn"
820 Briarhill Drive
Newark, OH 43055

US Bankruptcy Court
Northern District of California
Court Room 17, 16th Floor
450 Golden Gate Avenue
San Francisco, CA 94102

In re:
Pacific Gas & Electric Company - Debtors or as Reorganized Debtors
Bankruptcy Case No. 19-30088 (DM)
Chapter 11

& In re:
Reorganized Debtors' Thirteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose} filed on August 18, 2021 [Docket No. 11085] (the "Omnibus Objection").

Honorable Dennis Montali, US Bankruptcy Judge:

I am filing this claim in opposition to the above referenced case(s) for the "Thomas A Mullady IRA, Raymond James & Assoc Inc Csdn", 820 Briarhill Drive, Newark, Ohio 43055.

The basis for this claim is the attached referenced securities purchase confirmation (Exhibit "A") of the said IRA for: 15 Bonds of Pacific Gas & Electric Company 4.3% 03/15/2045 (CUSIP: 694308HL4). These bonds were purchased with a settlement date of January 26, 2018. Therefore, the "Thomas A Mullady IRA, Raymond James & Assoc Inc Csdn" owned these securities for only 1 (one) year and 3 (three) days prior to the above referenced PG&E Bankruptcy filing of January 29, 2019. As such, the referenced IRA only received two bi-annual interest payments prior to **Pacific Gas & Electric Company** filing of Bankruptcy, namely:

- 03/15/2018 - Interest payment of \$322.50
- 09/15/2018 - Interest payment of \$322.50

for total Interest Payments of **\$645.00**.

As can be seen from Exhibit "A", the total cost of these bonds was **\$15,965.46**.

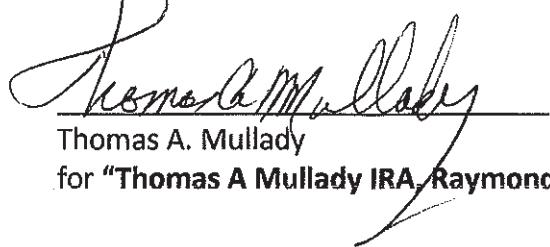
To totally eliminate more than 95% of the value of these bonds (\$15320.46) using the "Statute of Repose of Section 11 of Securities Act of 1933, for a period of ownership of only 1 (one) year and 3 (three) days is unjust.

Page 2: Claim of "Thomas A Mullady IRA, Raymond James & Assoc Inc Csdn"

I ask that the Court consider this objection to the elimination of this claim due to the "Statute of Repose" and rule favorably for this claimant.

For additional information, I have attached Exhibit "B", a copy of the original "Recission or Damage Proof of Claim", dutifully filed on March 18, 2020 in the above referenced Bankruptcy case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Thomas A. Mullady".

Thomas A. Mullady
for "Thomas A Mullady IRA, Raymond James & Assoc Inc Csdn"

Exhibit A
RAYMOND JAMES®

January 24, 2018

Trade Confirmation - Account # 38297992

Jim Atkinson, AIF®, CFP, MPAS

Raymond James Financial Services

6530 West Campus Oval

Suite 110

New Albany, OH 43054

(614) 656-1230

<http://www.cbuscapital.com>

JIM.ATKINSON@RAYMONDJAMES.COM

188391000803 02 AB 0.40 4 636 2


Thomas A Mullady IRA
Raymond James & Assoc Inc Csdn
820 Briarhill Dr
Newark, OH 43055-2258204

Raymond James Client Services
800.647.SERV(7378)
Monday - Friday 8 a.m. to 9 p.m. ET

Online Account Access
raymondjames.com/investoraccess

Tom's IRA Trade Confirmation - Account # 38297992

These transactions were made in a non-fee based account. A commission and/or other fees are generally assessed on each transaction. Commissions are charged on individual transactions.

Fixed Income - Corporate Bonds FI - CB

PACIFIC GAS AND ELECTRIC COMPANY 4.3% 03/15/2045 (CUSIP: 694308HL4)

Buy

Number of Bonds:
15

Price per Bond:
103.02900

Total Cost:
\$15,965.46

Trade Calculation

Trade Amount:	\$15,454.35
Commission:	\$270.45
Accrued Interest:	\$234.71
Handling Fee:	\$5.95
Total Cost:	\$15,965.46

INCOME PAYMENTS: The income rate for this security is fixed and is scheduled to be paid at predetermined intervals.

CALL FEATURE: A make-whole call feature exists on this security which is typically with 30 days notice.

CALL NOTICE: This security is continuously callable on and after the call date with 10 Days notice.

CALL FEATURE: Additional call features may exist which could affect yield; complete information will be provided upon request.

CAPACITY: This transaction was executed on an agency basis.

SOLICITED: Your financial advisor initiated this transaction.

Yield to Maturity:	4.006%
Maturity Date:	<u>03/15/2045</u>
Next Call Date:	<u>09/15/2044</u>
Next Call Price:	100
Yield to Call:	4.003%

Please refer to the *Understanding Your Confirmation* page for additional information.

Case: 19-30088 Doc# 11246 Filed: 09/13/21 Entered: 09/13/21 02:54:48 Page 3 of 6

Account created by Raymond James & Associates Inc. Client ID: 694308HL4 CUSIP: 694308HL4
880 Carillon Parkway | St. Petersburg, Florida 33716 | 727.567.1000 | raymondjames.com

38297992-2-1 8L000BL15

United States Bankruptcy Court, Northern District of California

Fill in this information to identify the case (Select only one Debtor per claim form):

PG&E Corporation (19-30088)

Pacific Gas and Electric Company (19-30089)

Rescission or Damage Claim Proof of Claim

This form is for purchasers of the Debtors' publicly traded debt and/or equity securities listed on Annex A during the period from April 29, 2015 through November 15, 2018, inclusive, who are asserting claims against the Debtors for rescission or damages under the securities laws and Section 510(b) of the Bankruptcy Code. Read the instructions before filing this Rescission or Damage Claim Proof of Claim Form.

THIS FORM IS TO BE USED ONLY FOR CLAIMANTS THAT PURCHASED OR ACQUIRED THE DEBTORS' PUBLICLY TRADED DEBT AND/OR EQUITY SECURITIES LISTED ON ANNEX A FROM APRIL 29, 2015 THROUGH NOVEMBER 15, 2018 TO ASSERT CLAIMS FOR RESCISSION OR DAMAGES UNDER THE SECURITIES LAWS AND SECTION 510(b) OF THE BANKRUPTCY CODE AND NOT ANY OTHER CLAIMS.

DO NOT USE THIS FORM TO ASSERT A CLAIM IF YOU DID NOT PURCHASE OR ACQUIRE PUBLICLY TRADED DEBT OR EQUITY SECURITIES OF THE DEBTORS FROM APRIL 29, 2015 THROUGH NOVEMBER 15, 2018 AND YOUR CLAIM IS BASED SOLELY ON YOUR CURRENT AND CONTINUOUS OWNERSHIP OF SUCH SECURITIES.

Fillers must leave out or partially redact SSNs/TINs/birthdates/names of minors/full account numbers. Attach redacted copies of any documents that support the claim. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of January 29, 2019, the date these Chapter 11 Cases were filed. For purposes of this form, "creditor" means the beneficial owner of the securities that form the basis of the claim.

Part I: Identify the Claim

1. Who is the current creditor?	Thomas A. Mullaney IRA Acc. #. 30297992, Raymond James Fin. Services, Cust.
	Name of the current creditor (the person or entity to be paid for this claim)
	Other names the creditor used with the Debtor
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____
3. Are you asserting a Claim for rescission or damages under the securities laws and Section 510(b) of the Bankruptcy Code?	<p>Check the box below to indicate whether you are asserting a claim for rescission or damages under the securities laws and section 510(b) of the Bankruptcy Code, arising from the purchase and/or acquisition of the Debtors' publicly traded debt and/or equity securities during the period from April 29, 2015 through November 15, 2018. You are directed to check only one box below:</p> <p><input checked="" type="checkbox"/> Debt Securities; <input type="checkbox"/> Equity Securities; or <input type="checkbox"/> Debt Securities and Equity Securities</p> <p>Please also check all applicable CUSIP(s) on Annex A, Part I (attached hereto) for the equity or debt securities to which this Proof of Claim applies (hereinafter "the Securities"). If you purchased/acquired multiple CUSIPs, you must make additional copies of Annex A, Part II, so that you submit a <u>separate</u> corresponding Annex A, Part II for each CUSIP, with the requested documentation.</p> <p>In addition to completing this Rescission or Damage Claim Proof of Claim Form, including checking the appropriate boxes on Annex A, Part I and providing the detail in Annex A, Part II, you are also required to attach to this Rescission or Damage Claim Proof of Claim Form any applicable detail regarding your purchases/acquisition of the securities from April 29, 2015 through November 15, 2018.</p> <p>Once you have completed Annex A, Part I and Part II, please affix them to this Rescission or Damage Claim Proof of Claim Form. If you are submitting your Proof of Claim electronically, you will be asked to scan all Annex A, Part I and Part II and supporting documentation. If you have numerous transactions to report in Annex A, Part II, Claimants with more than 100 transactions in the Debtors' securities may contact Prime Clerk for instructions on how to file their claims electronically.</p>

4. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)		Thomas A. Mullady
		Name _____
		820 Briarhill Drive
		Number _____ Street _____
		Newark, OH 43055
		City _____ State _____ ZIP Code _____
	740-366-1865	
Contact phone	_____	Contact phone _____
Contact email	moose33@roadrunner.com	Contact email _____
5. Does this claim amend one already filed?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____	Filed on _____ MM / DD / YYYY
6. Do you know if anyone else has filed a proof of claim for this claim?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____	

Part 2: Give Information About the Claim as of January 29, 2019

7. Do you have any number you use to identify the debtor?	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 7992	
8. How much is the claim?	\$ 15,965.46	Does this amount include interest or other charges? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).
9. Is all or part of the claim secured?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. The claim is secured by a lien on property. Nature of property: <input type="checkbox"/> Real estate. If the claim is secured by the debtor's principal residence, file a <i>Mortgage Proof of Claim Attachment</i> (Official Form 410-A) with this <i>Proof of Claim</i> . <input type="checkbox"/> Motor vehicle <input type="checkbox"/> Other. Describe: _____	
		Basis for perfection: _____ Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)
		Value of property: \$ _____
		Amount of the claim that is secured: \$ _____
		Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amounts should match the amount in line 7.)
		Amount necessary to cure any default as of the date of the petition: \$ _____
		Annual Interest Rate (when case was filed) _____ % <input type="checkbox"/> Fixed <input type="checkbox"/> Variable
10. Is this claim subject to a right of setoff?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Identify the property: _____	

Part 3: Sign Below

The person completing this proof of claim must sign and date it.
FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both.
18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

I am the creditor.
 I am the creditor's attorney or authorized agent.
 I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.
 I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 09/02/2020 (mm/dd/yyyy)

Signature

Print the name of the person who is completing and signing this claim:

Name	First name	Middle name	Last name
	Thomas	Aquinas	Mullady
Title			
Company	Identify the corporate servicer as the company if the authorized agent is a servicer.		
Address	820 Briarhill Drive		
Number	Street	State	ZIP Code
Newark		OH	43055
City		State	ZIP Code
740-366-1865		Email	moose33@roadrunner.com
Contact phone			

Annex A
Part I

Check all relevant boxes below. If you purchased multiple CUSIPs, you must make additional copies of Part II.

Check One Box Below	Issuer of Securities	Securities Description	CUSIP Number	ISIN Number
<input type="checkbox"/>	PG&E Corp	Common Stock (including any contract options related thereto)	69331C108	US69331C1080
<input type="checkbox"/>	Pacific Gas & Electric Co	Preferred 4.36 PERP/CALL	694308883	US6943088830
<input type="checkbox"/>	Pacific Gas & Electric Co	Preferred 4.5 PERP/CALL	694308800	US6943088004
<input type="checkbox"/>	Pacific Gas & Electric Co	Preferred 4.8 PERP/CALL	694308701	US6943087014
<input type="checkbox"/>	Pacific Gas & Electric Co	Preferred 5 PERP/CALL	694308503	US6943085034
<input type="checkbox"/>	Pacific Gas & Electric Co	Preferred 5 PERP/CALL	694308602	US6943086024
<input type="checkbox"/>	Pacific Gas & Electric Co	Preferred 5 PERPETUAL	694308404	US6943084045
<input type="checkbox"/>	Pacific Gas & Electric Co	Preferred 5.5 PERPETUAL	694308305	US6943083054
<input type="checkbox"/>	Pacific Gas & Electric Co	Preferred 6% Dividend PERPETUAL	694308206	US6943082064
<input type="checkbox"/>	Pacific Gas & Electric Co	0.45835% due 5/11/2015	694308HJ9	US694308HJ92
<input type="checkbox"/>	Pacific Gas & Electric Co	1.51778% due 11/30/2017	694308HQ3	US694308HQ36
<input type="checkbox"/>	Pacific Gas & Electric Co	2.45% due 8/15/2022	694308HB6	US694308HB66
<input type="checkbox"/>	Pacific Gas & Electric Co	2.54138% due 11/28/2018	694308HU4	US694308HU48
<input type="checkbox"/>	Pacific Gas & Electric Co	2.54138% due 11/28/2018	694308HT7	US694308HT74
<input type="checkbox"/>	Pacific Gas & Electric Co	2.54138% due 11/28/2018	U69430AD5	USU69430AD52
<input type="checkbox"/>	Pacific Gas & Electric Co	2.95% due 3/1/2026	694308HP5	US694308HP52
<input type="checkbox"/>	Pacific Gas & Electric Co	3.25% due 6/15/2023	694308HC4	US694308HC40
<input type="checkbox"/>	Pacific Gas & Electric Co	3.25% due 9/15/2021	694308GW1	US694308GW13
<input type="checkbox"/>	Pacific Gas & Electric Co	3.3% due 12/1/2027	694308HW0	US694308HW04
<input type="checkbox"/>	Pacific Gas & Electric Co	3.3% due 12/1/2027	U69430AE3	USU69430AE36
<input type="checkbox"/>	Pacific Gas & Electric Co	3.3% due 12/1/2027	694308HV2	US694308HV21
<input type="checkbox"/>	Pacific Gas & Electric Co	3.3% due 3/15/2027	694308HS9	US694308HS91
<input type="checkbox"/>	Pacific Gas & Electric Co	3.4% due 8/15/2024	694308HK6	US694308HK65
<input type="checkbox"/>	Pacific Gas & Electric Co	3.5% due 10/1/2020	694308GT8	US694308GT83
<input type="checkbox"/>	Pacific Gas & Electric Co	3.5% due 6/15/2025	694308HM2	US694308HM22
<input type="checkbox"/>	Pacific Gas & Electric Co	3.75% due 2/15/2024	694308HG5	US694308HG53
<input type="checkbox"/>	Pacific Gas & Electric Co	3.75% due 8/15/2042	694308HA8	US694308HA83
<input type="checkbox"/>	Pacific Gas & Electric Co	3.85% due 11/15/2023	694308HE0	US694308HE06
<input type="checkbox"/>	Pacific Gas & Electric Co	3.95% due 12/1/2047	694308HY6	US694308HY69
<input type="checkbox"/>	Pacific Gas & Electric Co	3.95% due 12/1/2047	694308HX8	US694308HX86
<input type="checkbox"/>	Pacific Gas & Electric Co	3.95% due 12/1/2047	U69430AF0	USU69430AF01
<input type="checkbox"/>	Pacific Gas & Electric Co	4% due 12/1/2046	694308HR1	US694308HR19
<input type="checkbox"/>	Pacific Gas & Electric Co	4.25% due 3/15/2046	694308HN0	US694308HN05
<input type="checkbox"/>	Pacific Gas & Electric Co	4.25% due 5/15/2021	694308GV3	US694308GV30
<input type="checkbox"/>	Pacific Gas & Electric Co	4.25% due 8/1/2023	694308HZ3	US694308HZ35
<input type="checkbox"/>	Pacific Gas & Electric Co	4.25% due 8/1/2023	U69430AG8	USU69430AG83
<input checked="" type="checkbox"/>	Pacific Gas & Electric Co	4.3% due 3/15/2045	694308HL4	US694308HL49
<input type="checkbox"/>	Pacific Gas & Electric Co	4.45% due 4/15/2042	694308GZ4	US694308GZ44
<input type="checkbox"/>	Pacific Gas & Electric Co	4.5% due 12/15/2041	694308GY7	US694308GY78
<input type="checkbox"/>	Pacific Gas & Electric Co	4.6% due 6/15/2043	694308HD2	US694308HD23
<input type="checkbox"/>	Pacific Gas & Electric Co	4.65% due 8/1/2028	694308JA6	US694308JA65
<input type="checkbox"/>	Pacific Gas & Electric Co	4.65% due 8/1/2028	U69430AH6	USU69430AH66

Annex A

Part II

Please note that you must submit a **SEPARATE** Part II for **EACH** CUSIP you check in Part I. If you purchased/acquired more than one CUSIP, you must make additional copies of Part II and affix them to your Part I.

CUSIP (or Option Series): 694308HL4

Beginning Holdings:

On the line below, state the total number of publicly traded equity securities (in shares) or debt securities (in dollars) held as of the opening of trading on April 29, 2015. If none, write "0 shares" or "\$0". Please provide documentation.

\$0.00 (shares / dollars)

Purchase / Acquisitions

Separately list each and every purchase or acquisition of the Debtors' publicly traded equity securities or debt securities from April 29, 2015 through November 15, 2018, both dates inclusive, and provide the following information (must be documented)

Purchase/Acquisition Date (List Chronologically) (Month/Day/ Year)	Number of Shares or Amount of Notes (in dollars) Purchased	Price per Share / Note	Total Cost (excluding Commissions, Taxes, and Fees)
01/26/2018	\$15,000.00	103.0290	\$15,965.46

Sales

Separately list each and every sale of the Debtors' publicly traded equity securities or debt securities from April 29, 2015 through November 15, 2018, both dates inclusive, and provide the following information (must be documented)

Sale Date (List Chronologically) (Month/Day/ Year)	Number of Shares or Amount of Notes (in dollars) Sold	Price per Share / Note	Total Cost (excluding Commissions, Taxes, and Fees)
None			

Ending Holdings:

On the line below, state the total number of the Debtors' publicly traded equity securities (in shares) or debt securities (in dollars) held as of the close of trading on November 15, 2018. If none, write "0 shares" or "\$0". Please provide documentation.

\$15,000.00 (shares / dollars)

Mr. Thomas A. Mullady
820 Briarhill Dr.
Newark, OH 43055



7020 0640 0001 5207 1182

**RETURN RECEIPT
REQUESTED**



94102



1000

U.S. POSTAGE PAID
FOL LG ENV
HEATH, OH
43055
SEP 08 21
\$8.16
R2305K134965-03

RECEIVED

SEP 13 2021

UNITED STATES BANKRUPTCY COURT
SAN FRANCISCO, CA

J
US Bankruptcy Court
Northern District of California
Court Room 17
16th Floor
450 Golden Gate Avenue
San Francisco, CA 94102